

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF NEW YORK

-----x  
UNITED STATES OF AMERICA

-v-

ANTONIO LOZADA  
-----x

JUDGMENT INCLUDING SENTENCE  
UNDER THE SENTENCING REFORM ACT

CASE NUMBER: CR-05-284 (ARR)  
J. DAVID NICK, ESQ  
506 BROADWAY  
SAN FRANCISCO, CALIFORNIA 94133

Defendant's Attorney & Address

THE DEFENDANT:

XXX pleaded guilty to count two of the superseding indictment.  
\_\_\_\_ was found guilty on counts \_\_\_\_\_ after a plea of not guilty.  
Accordingly, the defendant is ADJUDGED guilty of such count(s), which involve the following offenses:

<u>TITLE &amp; SECTION</u>	<u>NATURE &amp; OFFENSE</u>	<u>COUNT NUMBER(S)</u>
18 USC 1956(h) & 1956(a) (2) (B) (i)	CONSPIRACY TO CONCEAL AND TRANSFER NARCOTICS PROCEEDS.	TWO (2)

The defendant is sentenced as provided in pages 2 through \_\_\_\_\_ of this Judgment.  
The sentence is imposed pursuant to the Sentencing Reform Act of 1984.

\_\_\_\_ The defendant has been found not guilty on count(s) \_\_\_\_\_ and is discharged as to such count(s).  
XXX Remaining counts are dismissed on the motion of the United States.  
XXX It is ordered that the defendant shall pay to the United States a special assessment of \$100.00 which shall be due XXX immediately \_\_\_\_\_ as follows:

It is further ORDERED that the defendant shall notify the United States Attorney for this district within 30 days of any change of residence or mailing address until all fines, restitution, costs, and special assessments imposed by this Judgment are fully paid.

Defendant's Soc. Sec # \_\_\_\_\_

FEBRUARY 22, 2006  
Date of Imposition of Sentence

Defendant's Date of Birth 10/26/64

Defendant's Mailing Address:

ALLYNE R. ROSS, U.S.D.J.

14737 47th STREET - APT. 5J

FEBRUARY 22, 2006  
Date

FLUSHING, NEW YORK 11345

A TRUE COPY ATTEST

Defendant's Residence Address:

Date: \_\_\_\_\_  
ROBERT C. HEINEMANN  
CLERK OF COURT

( SAME AS ABOVE )

By: \_\_\_\_\_

DEPUTY CLERK

IMPRISONMENT

The defendant is hereby committed to the custody of the United States Bureau of Prisons to be imprisoned for a term of forty four (44) months.

XXX The Court makes the following recommendations to the Bureau of Prisons:  
THAT THE DEFENDANT PARTICIPATE IN THE 500 HOUR PROGRAM, AS INSOFAR, CONSISTENT WITH THE REQUEST TO BE DESIGNATED AT THE FACILITY IN FORT DIX.

— The defendant is remanded to the custody of the United States Marshal.  
The defendant shall surrender to the United States Marshal for this district,

— at \_\_\_\_\_ a.m./p.m. on \_\_\_\_\_.  
— as notified by the Marshal.

— The defendant shall surrender for service of sentence at the institution designated by the Bureau of Prisons

— before 12:00 noon on \_\_\_\_\_.  
— as notified by the United States Marshal.  
— as notified by the Probation Office.

RETURN

I have executed this Judgment as follows:

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Defendant delivered on \_\_\_\_\_ to \_\_\_\_\_ at \_\_\_\_\_, with a certified copy of this Judgment.

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United States Marshal

By \_\_\_\_\_

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**SUPERVISED RELEASE**

Upon release from imprisonment, the defendant shall be on supervised release for a term of three (3) years.

While on supervised release, the defendant shall not commit another Federal, state, or local crime and shall comply with the standard conditions that have been adopted by this court (set forth on the following page). If this judgment imposes a restitution obligation, it shall be a condition of supervised release that the defendant pay any such restitution that remains unpaid at the commencement of the term of supervised release. The defendant shall comply with the following additional conditions:

- 1) Deft shall participate in substance abuse treatment with a treatment provider selected by the probation department. Treatment may include outpatient or residential treatment as determined by the probation department. Deft shall abstain from all illegal substances and/or alcohol. Deft shall contribute to the cost of services rendered via co-payment or full payment in an amount to be determined by the probation department, based upon the deft's ability to pay and/or the availability of third party payment.
- 2) Deft shall not possess any firearms.

— The defendant shall pay any fines that remain unpaid at the commencement of the term of supervised release.

STANDARD CONDITIONS OF SUPERVISION

Judgment: While the defendant is on probation or supervised release pursuant to this

- 1) The defendant shall not commit another Federal, state or local crime;
- 2) the defendant shall not leave the judicial district without the permission of the court or probation officer;
- 3) the defendant shall report to the probation officer as directed by the court or probation officer and shall submit a truthful and complete written report within the first five days of each month;
- 4) the defendant shall answer truthfully all inquiries by the probation officer and follow the instructions of the probation officer;
- 5) the defendant shall support his or her dependents and meet other family responsibilities;
- 6) the defendant shall work regularly at a lawful occupation unless excused by the probation officer for schooling, training, or other acceptable reasons;
- 7) the defendant shall notify the probation officer within seventy-two hours of any change in residence or employment;
- 8) the defendant shall refrain from excessive use of alcohol and shall not purchase, possess, use, distribute, or administer any narcotic or other controlled substance, or any paraphernalia related to such substances, except as prescribed by a physician;
- 9) the defendant shall not frequent places where controlled substances are illegally sold, used, distributed, or administered;
- 10) the defendant shall not associate with any persons engaged in criminal activity, and shall not associate with any person convicted of a felony unless granted permission to do so by the probation officer;
- 11) the defendant shall permit a probation officer to visit him or her at any time at home or elsewhere and shall permit confiscation of any contraband observed in plain view by the probation officer;
- 12) the defendant shall notify the probation officer within seventy-two hours of being arrested or questioned by a law enforcement officer;
- 13) the defendant shall not enter into any agreement to act as an informer or a special agent of a law enforcement agency without the permission of the court;
- 14) as directed by the probation officer, the defendant shall notify third parties of risks that may be occasioned by the defendant's criminal record or personal history or characteristics, and shall permit the probation officer to make such notifications and to confirm the defendant's compliance with such notification requirement.

These conditions are in addition to any other conditions imposed by this Judgment.

FINE WITH SPECIAL ASSESSMENT

The defendant shall pay to the United States the sum of \$ 100.00, consisting of a fine of \$ N/A and a special assessment of \$ 100.00.

— These amounts are the totals of the fines and assessments imposed on individual counts, as follows:

This sum shall be paid immediately  
as follows:

XXX The Court has determined that the defendant does not have the ability to pay any fines, cost of confinement or supervision.

— The interest requirement is waived.  
— The interest requirement is modified as follows:

UNITED STATES DISTRICT COURT  
EASTERN DISTRICT OF NEW YORK

UNITED STATES OF AMERICA, :  
Plaintiff, : CR-05-284  
-against- : United States Courthouse  
: Brooklyn, New York  
ANTONIO LOZADA, :  
Defendant. :  
: February 22, 2006  
: 11:30 a.m.

TRANSCRIPT OF SENTENCING  
BEFORE THE HONORABLE ALLYNE ROSS  
UNITED STATES DISTRICT JUDGE

APPEARANCES:

For the Plaintiff: ROSLYNN R. MAUSKOPF, ESQ.  
United States Attorney  
BY: SCOTT MORVILLO, ESQ.  
BRENDAN KING, ESQ.  
Assistant United States Attorney

For the Defendant: J. DAVID NICK, ESO.

Probation: **BRANDON MAXON**

Court Reporter: FREDERICK R. GUERINO, C.S.R.  
225 Cadman Plaza East  
Brooklyn, New York  
718-330-7687

Proceedings recorded by mechanical stenography, transcript produced by CAT.

THE COURT CLERK: United States of America v.  
Antonio Lozada, Docet No. CR-05-284.

(The interpreter, Robert Zaenker, is sworn in at this time.)

Counsel, please state your names for the record.

MR. MORVILLO: Scott Morville and Brendan King for the United states

Good morning, your Honor

THE COURT: Good morning, Mr. Morville.

MR. NICK: Good morning, your Honor.

J. David Nick, N-i-c-k, on behalf of Mr. Tom J.

THE COURT: First, Mr. Nick, thank you for the letter clarifying the absence of the relationship between you and co-counsel in the case.

MR. NICK: It is an ongoing situation, your Honor.

It is a big office that we share.

THE COURT: I understand many of those arrangements.  
I just wanted to be sure.

MR. NICK: Thank you, your Honor.

THE COURT: Mr. Nick, I have received correspondence from you and the government, and I take it you have had a full opportunity to review everything relating to sentence with Mr. Lozada; is that correct?

MR. NICK: Yes, Your Honor.

THE COURT: Mr. Lozada, are you satisfied that you

1                   THE COURT: Initially there is a guidelines dispute  
2 between the Probation Department and the government as to  
3 whether or not Mr. Lozada qualifies for the four-level  
4 deduction for minimal role, as recommended in the presentence  
5 report, or, as the government argues, whether the real  
6 reduction should be limited to three levels.

7                   I do tend to agree with the government that although  
8 it is true that the defendant was a guard for stash houses,  
9 his position bespoke sufficient involvement and  
10 responsibility to warrant the lesser three-level deduction.

11                  The evidence of Mr. Lozada's role in the  
12 organization apparently derived from three sources: his  
13 arrest in one stash house where certain things were found,  
14 his possession of keys to that stash house and another stash  
15 house, and his post-arrest statements.

16                  In his post-arrest statements, Mr. Lozada  
17 acknowledged that in December of 2004, an individual named  
18 Robert Garcia offered him 5- to \$10,000 a month to guard the  
19 stash house in which he was arrested.

20                  The defendant said that every Friday over at least -  
21 in his post-arrest statement - a three-month period, Garcia  
22 telephoned to tell him that someone would be coming to the  
23 apartment to bring narcotics proceeds to be stored in traps  
24 in dressers of the apartment, of which the defendant was  
25 aware, but on each occasion he was told to and did leave the

1 apartment where this took place. He also said that he knew  
2 that the money was from the sale of drugs, but was not aware  
3 of the amount of money and never opened the traps.

4 He acknowledged that two weeks before his arrest  
5 Garcia brought him to a second apartment where Tejada  
6 resided, and Garcia told him that the Tejada apartment would  
7 be used as another stash house, and that the defendant was to  
8 be paid to watch over both stash houses, the one where Tejada  
9 slept and the other where Mr. Lozada slept.

10 So, given evidence that the defendant admitted, over  
11 the three-month period he was paid somewhere between 15,000-  
12 and \$30,000 to watch over stash houses where narcotics  
13 proceeds were stored, that he also knew that weekly,  
14 presumably, a total of up to 12 times, if it was for a  
15 three-month period, narcotics proceeds were in fact brought  
16 to the apartment to be hidden in traps in dressers. And that  
17 15 days before his arrest, Garcia, who the defendant  
18 identified as the leader of the organization, gave him  
19 responsibility for a second stash house, giving rise at least  
20 to an inference that the defendant also had some oversight  
21 responsibilities with respect to both Tejada and Lopez.  
22 In light of all of that, I cannot say that Mr. Lozada was  
23 among the least culpable, but rather that his role was  
24 between a minor and minimal one.

25 Accordingly, a guidelines analysis in my view yields

1 a level 25, category one, for a range of imprisonment I  
2 thought it was 57 to 71 months -- yes.

3 The defendant has argued for a guidelines departure  
4 under 5K2.20, aberrational behavior, but I do not believe the  
5 facts of his case warrant a traditional guidelines departure  
6 on that ground.

7 Nor will I rely directly on what defendant has  
8 identified as a Restrepo departure under the guidelines.  
9 Nonetheless, I find that a non-guidelines sentence is  
10 appropriate under 3553(a). Since, on assessing all of the  
11 statutory factors, I conclude that a sentence moderately  
12 lower than that yielded by a strict guidelines analysis is  
13 sufficient, but no more severe than necessary, to accomplish  
14 the goals for sentencing.

15 Although the government is correct that based  
16 on facts known to Mr. Lozada, he should have understood that  
17 he was guarding a substantial amount of money for a  
18 substantial drug smuggling organization, the evidence of the  
19 facts actually known to Mr. Lozada do not, in my estimation,  
20 support an inference that he should have known he was  
21 guarding amounts approximating three quarters of a million  
22 dollars.

23 In my view, this serves to mitigate somewhat the  
24 nature and circumstances of his offense.

25 Nor is that mitigation in any way offset by an assessment of

1 the other statutory factors.

2 This is Mr. Lozada's first known brush with the law,  
3 and he has had a relatively stable employment history.  
4 Under all of the circumstances, I believe that a sentence of  
5 44 months is sufficient, but no more severe than necessary to  
6 satisfy the goals of sentencing. I believe it appropriately  
7 reflects the seriousness of the defendant's conduct and  
8 serves the aims of deterrence and just punishment, protection  
9 of the public, and promotion and respect for the law.

10 I would strongly recommend to the Bureau of Prisons  
11 that he be placed in the 500-hour program, insofar as it is  
12 in any way consistent with that recommendation. If that's  
13 not possible or if they aren't consistent, I will recommend  
14 Ft. Dix.

15 So, ultimately, I'm sentencing Mr. Lozada to the  
16 custody of the Attorney General for a period of 44 months, to  
17 be followed by a three-year period of supervised release,  
18 with special conditions that he submit to drug testing and  
19 participate in substance abuse treatment with a treatment  
20 provider selected by the Probation Department. Treatment may  
21 include outpatient or residential treatment as determined by  
22 the Probation Department.

23 The defendant shall abstain from all illegal  
24 substances and/or alcohol, and contribute to the cost of  
25 services rendered by a copayment or full payment in an amount

1 to be determined by the Probation Department based on his  
2 ability to pay, and/or the availability for a third party  
3 payment.

4 I also prohibit the possession of a firearm.

5 I make a finding that the defendant is unable to pay  
6 a fine, but I will impose the mandatory \$100 special  
7 assessment

8 Are there any outstanding counts?

9 MR. MORVILLE: Yes, Your Honor. Prior to dismissing  
10 the outstanding counts, could we have an order of forfeiture  
11 as to the defendant as part of the sentence?

12 THE COURT: Yes.

13 I'm sorry, did you provide me with that?

14 MR. MORVILLE: We provided a preliminary order of  
15 forfeiture. We are publishing that to the defendant as part  
16 of the sentence. We ask the court to order a forfeiture of  
17 that money.

18 MR. NICK: I thought there was an order signed?

19 MR. MORVILLE: There is a preliminary order signed

20 THE COURT: I did sign a preliminary order, and you  
21 are asking that I sign a final order with respect to this  
22 defendant?

23 MR. MORVILLE: As part of the sentencing.

24 THE COURT: Yes, I do order forfeiture by the  
25 defendant in conformity with the preliminary order of

1 forfeiture that I previously signed, and I will dismiss any  
2 outstanding counts.

3 Mr. Lozada, there are circumstances in which a  
4 defendant may appeal the sentence. I don't know if it will  
5 apply in your case. You discuss that with your attorney. If  
6 you choose to appeal, a notice of appeal must be filed within  
7 ten days. If you couldn't afford a lawyer, one would be  
8 appointed to represent you.

9 MR. MORVILLO: Thank you, your Honor.

10 (The sentencing is concluded.)

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